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# The prime divisors of the number of points on abelian varieties

par ANTONELLA PERUCCA

RÉSUMÉ. Soient  $A, A'$  des courbes elliptiques ou variétés abéliennes pleinement de type  $\mathrm{GSp}$  définies sur un corps de nombres. Cette classe contient les variétés abéliennes principalement polarisées avec anneau d'endomorphismes  $\mathbb{Z}$  et de dimension 2 ou impaire. On compare le nombre de points des réductions des deux variétés. On montre que  $A$  et  $A'$  sont  $K$ -isogènes si la condition suivante est satisfaite pour un ensemble d'idéaux premiers  $\mathfrak{p}$  de  $K$  de densité 1: les nombres premiers qui divisent  $\#A(k_{\mathfrak{p}})$  divisent aussi  $\#A'(k_{\mathfrak{p}})$ . On généralise ce théorème dans une certaine mesure aux produits de telles variétés. On améliore des résultats de Hall et Perucca (2011) et de Ratazzi (2012).

ABSTRACT. Let  $A, A'$  be elliptic curves or abelian varieties fully of type  $\mathrm{GSp}$  defined over a number field  $K$ . This includes principally polarized abelian varieties with geometric endomorphism ring  $\mathbb{Z}$  and dimension 2 or odd. We compare the number of points on the reductions of the two varieties. We prove that  $A$  and  $A'$  are  $K$ -isogenous if the following condition holds for a density-one set of primes  $\mathfrak{p}$  of  $K$ : the prime numbers dividing  $\#A(k_{\mathfrak{p}})$  also divide  $\#A'(k_{\mathfrak{p}})$ . We generalize this statement to some extent for products of such varieties. This refines results of Hall and Perucca (2011) and of Ratazzi (2012).

## 1. Introduction

Let  $A, A'$  be abelian varieties defined over a number field  $K$ . Let  $S$  be a density-one set of primes of  $K$  of good reduction for both  $A$  and  $A'$ . A well-known result of Faltings of 1983 [1, Cor. 2] implies that  $A, A'$  are  $K$ -isogenous if and only if for every  $\mathfrak{p} \in S$  the following holds: the reductions of  $A$  and  $A'$  modulo  $\mathfrak{p}$  are isogenous over the residue field  $k_{\mathfrak{p}}$ . For elliptic curves, this is equivalent to requiring that the number of points  $\#A(k_{\mathfrak{p}})$

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and  $\#A'(k_{\mathfrak{p}})$  are equal. The aim of this paper is investigating analogous relations on the number of points that ensure that  $A, A'$  are isogenous.

In this paper we call an abelian variety *admissible* if it is either an elliptic curve or an abelian variety fully of type GSp. These are defined by considering the Galois action on the torsion points: a principally polarized abelian variety  $A$  of dimension  $g$  is said to be fully of type GSp if for all but finitely many prime numbers  $\ell$  the image of the mod- $\ell$  representation of  $A$  is  $\mathrm{GSp}_{2g}(\mathbb{F}_{\ell})$ . This condition holds in particular if the geometric endomorphism ring is  $\mathbb{Z}$  and the dimension is 2 or odd.

We refine results by Hall and Perucca [3] and by Ratazzi [8]. We weaken the assumptions of respectively [3, Thm.] and [8, Thm. 1.6], obtaining the following:

**Theorem 1.1.** *Let  $A, A'$  be admissible abelian varieties defined over a number field  $K$ . Let  $S$  be a density-one set of primes of  $K$  over which  $A, A'$  have good reduction. If the condition*

$$\ell \mid \#A(k_{\mathfrak{p}}) \quad \Rightarrow \quad \ell \mid \#A'(k_{\mathfrak{p}})$$

*holds for infinitely many prime numbers  $\ell$  and for every  $\mathfrak{p} \in S$  then  $A, A'$  are  $K$ -isogenous.*

The proof is based on the following theorem, which is an application of results for elliptic curves by Serre and by Frey and Jarden ([9, Lem. 9 and Thm. 7], [2, Thm. A]) and the corresponding results for abelian varieties fully of type GSp by Hindry and Ratazzi ([5, Thm. 1.6], [8, Thm. 1.5]). These kind of statements also relate to a problem considered by Kowalski [6, Problem 1.2].

**Theorem 1.2** (Horizontal isogeny theorem). *Let  $A, A'$  be admissible abelian varieties defined over a number field  $K$ . If the condition  $K(A[\ell]) \subseteq K(A'[\ell])$  holds for infinitely many prime numbers  $\ell$  then  $A, A'$  are  $K$ -isogenous.*

Note, the condition  $K(A[\ell]) = K(A'[\ell])$  for every prime number  $\ell$  does not in general imply that  $A$  and  $A'$  are  $K$ -isomorphic because of an example by Zarhin, see [11, §12]: there are elliptic curves that are not  $K$ -isomorphic but such that for every prime number  $\ell$  there exists a  $K$ -isogeny between them of degree coprime to  $\ell$ .

We also consider products:

**Theorem 1.3.** *Let  $A$  and  $A'$  be abelian varieties defined over a number field  $K$ . Suppose that the geometrically simple  $\bar{K}$ -quotients of  $A$  and of  $A'$  are admissible. Let  $S$  be a density-one set of primes of  $K$  over which  $A, A'$  have good reduction.*

(1) *If the condition*

$$\#A(k_{\mathfrak{p}}) = \#A'(k_{\mathfrak{p}})$$

*holds for every  $\mathfrak{p} \in S$  then  $A$  and  $A'$  are  $\bar{K}$ -isogenous.*

(2) *If the condition*

$$\ell \mid \#A(k_{\mathfrak{p}}) \quad \Rightarrow \quad \ell \mid \#A'(k_{\mathfrak{p}})$$

*holds for infinitely many prime numbers  $\ell$  and for every  $\mathfrak{p} \in S$  then every geometrically simple  $\bar{K}$ -quotient of  $A$  is also a  $\bar{K}$ -quotient of  $A'$ .*

In other words, knowing which prime numbers divide  $\#A(k_{\mathfrak{p}})$  for a density-one set of primes  $\mathfrak{p}$  is sufficient to characterize the simple factors of the Poincaré Reducibility Theorem decomposition of  $A \otimes_K \bar{K}$  up to isogeny.

Note, in our results we cannot consider only finitely many prime numbers  $\ell$ : for example if the Mordell-Weil groups  $A(K)$  and  $A'(K)$  respectively contain all points of order  $\ell$  for every prime number under consideration, then our assumptions provide no further information.

We conclude with an open problem, namely investigating to which extent the following property fails: for an abelian variety  $A$  defined over a number field  $K$ , and for  $\mathfrak{p}$  varying in a density-one set of primes of  $K$ , the function  $\mathfrak{p} \mapsto \#A(k_{\mathfrak{p}})$  characterizes the isogeny class of  $A$ .

## 2. Preliminaries

Let  $K$  be a number field, and fix a Galois closure  $\bar{K}$  of  $K$ . Let  $A$  be an abelian variety of dimension  $g$  defined over  $K$ . If  $\ell$  is a prime number, we denote by  $A[\ell]$  the group of  $\ell$ -torsion points and by  $K_{\ell} := K(A[\ell])$  the smallest extension of  $K$  over which these points are defined. We call  $G_{\ell}$  the Galois group of  $K_{\ell}/K$ , which we consider embedded in  $\text{GL}_{2g}(\mathbb{F}_{\ell})$  via the mod- $\ell$  representation, after having fixed a basis for  $A[\ell]$ .

We fix a polarization of  $A$  and suppose  $\ell$  does not divide its degree so that one can define the Weil pairing on  $A[\ell]$ . The pairing takes its values in  $\mu_{\ell}$ , the group of  $\ell$ -th roots of unity, so its existence implies  $\mu_{\ell} \subseteq K_{\ell}$ . We write  $H_{\ell} \subseteq G_{\ell}$  for the Galois group of  $K_{\ell}/K(\mu_{\ell})$ . There is a natural embedding  $G_{\ell}/H_{\ell} \rightarrow \text{Aut}(\mu_{\ell}) = \mathbb{F}_{\ell}^{\times}$ , and we write  $\chi_{\ell} : G_{\ell} \rightarrow \mathbb{F}_{\ell}^{\times}$  for the composition of this embedding with the quotient map  $G_{\ell} \rightarrow G_{\ell}/H_{\ell}$ . The induced homomorphism  $\chi_{\ell} : G_K \rightarrow \mathbb{F}_{\ell}^{\times}$  is the cyclotomic character.

The group  $G_{\ell}$  is contained in the general symplectic group  $\text{GSp}_{2g}(\mathbb{F}_{\ell})$  so we can consider the multiplier map

$$\nu : \text{GSp}_{2g}(\mathbb{F}_{\ell}) \rightarrow \mathbb{F}_{\ell}^{\times}.$$

The  $g$ -th power  $\nu^g$  equals the determinant and restricting to  $G_{\ell}$  the multiplier map  $\nu$  gives the cyclotomic character  $\chi_{\ell}$ . Consequently  $H_{\ell}$  is contained in the symplectic group  $\text{Sp}_{2g}(\mathbb{F}_{\ell})$ .

Let  $S$  be a density-one set of primes of  $K$  of good reduction for  $A$ . If  $v_{\ell}$  denotes the  $\ell$ -adic valuation, we define  $\Phi_{\ell}$  to be the following map:

$$\Phi_{\ell} : S \rightarrow \{0, 1\} \quad \mathfrak{p} \mapsto \min\{1, v_{\ell}(\#A(k_{\mathfrak{p}}))\}.$$

Note, this map distinguishes for each  $\mathfrak{p} \in S$  whether  $\ell$  divides or not the positive integer  $\#A(k_{\mathfrak{p}})$ . We also write  $\mathcal{E} := \text{End}_{\bar{K}}(A) \otimes \mathbb{Q}$ .

We repeatedly make use of the following: If  $A$  is an elliptic curve without CM then for all but finitely many  $\ell$  we have  $G_{\ell} = \text{GL}_2(\mathbb{F}_{\ell})$ , see [9, Thm. 2]. If  $A$  is an elliptic curve with CM defined over  $K$  then for all but finitely many  $\ell$  we have that  $G_{\ell}$  is a Cartan subgroup of  $\text{GL}_2(\mathbb{F}_{\ell})$ , see [9, §4.5, Cor.]. Recall that the cardinality of a Cartan subgroup of  $\text{GL}_2(\mathbb{F}_{\ell})$  is either  $(\ell - 1)^2$  or  $\ell^2 - 1$  according to whether it is split or non split. Moreover, all elements of a Cartan subgroup of  $\text{GL}_2(\mathbb{F}_{\ell})$  are semi simple because they are diagonalizable over  $\bar{\mathbb{F}}_{\ell}$ .

As a reference for abelian varieties (fully) of type  $\text{GSp}$  we suggest [10, 5, 8]. A principally polarized abelian variety  $A$  of dimension  $g$  is said to be fully of type  $\text{GSp}$  if for all but finitely many prime numbers  $\ell$  the image of the mod- $\ell$  representation is the group  $\text{GSp}_{2g}(\mathbb{F}_{\ell})$ . A necessary condition for  $A$  to be fully of type  $\text{GSp}$  is  $\text{End}_{\bar{K}} A = \mathbb{Z}$ , and this condition is also sufficient in dimension 2 or odd by [10, Thm. 3]. In particular, abelian varieties fully of type  $\text{GSp}$  are geometrically simple. Abelian varieties fully of type  $\text{GSp}$  are also of type  $\text{GSp}$  (i.e. the Mumford-Tate group is  $\text{GSp}_{2g}$ ) by a result of Deligne and others, see [4, Thm. 2.7]. In particular the Hodge group is  $\text{Sp}_{2g}$ , see [5, Def. 5.1].

We make use of the following two lemmas about the mod- $\ell$  representation of abelian varieties:

**Lemma 2.1.** *Let  $A$  be an abelian variety defined over a number field  $K$ . Suppose  $\mathfrak{p} \in S$  is not over  $\ell$  and does not ramify in  $K_{\ell}$  and  $\mathfrak{q}$  is a prime of  $K_{\ell}$  over  $\mathfrak{p}$ . If  $\phi_{\mathfrak{q}} \in G_{\ell}$  is the Frobenius  $\mathfrak{q} \mid \mathfrak{p}$ , then  $\Phi_{\ell}(\mathfrak{p}) = 1$  if and only if  $\det(\phi_{\mathfrak{q}} - 1) = 0$ .*

*Proof.* The embedding  $A(k_{\mathfrak{p}}) \rightarrow A(k_{\mathfrak{q}})$  identifies  $A(k_{\mathfrak{p}})[\ell]$  with  $\ker(\phi_{\mathfrak{q}} - 1) \subseteq A[\ell]$ , hence  $\ell \mid \#A(k_{\mathfrak{p}})$  if and only if 1 is an eigenvalue of  $\phi_{\mathfrak{q}}$ . □

We also consider an abelian variety  $A'$  over  $K$  and analogously define  $K'_{\ell}, G'_{\ell}, H'_{\ell}, \Phi'_{\ell}, \mathcal{E}'$ . We then suppose that the primes in  $S$  are also of good reduction for  $A'$ . We write  $\Gamma_{\ell} \subseteq G_{\ell} \times G'_{\ell}$  for the Galois group of the compositum  $K_{\ell}K'_{\ell}/K$ .

**Lemma 2.2.** *Let  $A, A'$  be abelian varieties defined over a number field  $K$ . If  $\Phi_{\ell} \leq \Phi'_{\ell}$ , then  $\det(\gamma - 1) = 0$  implies  $\det(\gamma' - 1) = 0$  for every  $(\gamma, \gamma') \in \Gamma_{\ell}$ .*

*Proof.* By the Chebotarev Density Theorem there is some prime  $\mathfrak{p} \in S$  not over  $\ell$ , unramified in  $K_{\ell}K'_{\ell}$  and whose Frobenius conjugacy class in  $\Gamma_{\ell}$  contains  $(\gamma, \gamma')$ . Lemma 2.1 implies the values  $\Phi_{\ell}(\mathfrak{p}), \Phi'_{\ell}(\mathfrak{p})$  respectively identify whether or not  $\det(\gamma - 1), \det(\gamma' - 1)$  are non-zero, and thus the hypothesis  $\Phi_{\ell}(\mathfrak{p}) \leq \Phi'_{\ell}(\mathfrak{p})$  implies the statement. □

We will apply the following lemma to assume that for elliptic curves the CM is defined over the base field:

**Lemma 2.3.** *If two elliptic curves  $A, A'$  defined over a number field  $K$  are  $K\mathcal{E}\mathcal{E}'$ -isogenous, then they are  $K$ -isogenous.*

*Proof.* This assertion is proven for example in [3, Lem. 4]. □

### 3. Independence properties of torsion fields

In this section, we consider finitely many abelian varieties and investigate the fields obtaining by adding the respective torsion points of prime order.

**Proposition 3.1.** *Let  $A$  be an abelian variety defined over a number field  $K$ . Suppose that  $A$  is fully of type  $\text{GSp}$  or that  $A$  is an elliptic curve with CM defined over  $K$ . If  $L$  is a finite extension of  $K$  then for all but finitely many prime numbers  $\ell$  we have  $L \cap K_\ell = K$ .*

*Proof.* For elliptic curves, we refer to [3, Prop. 1]. The proof for abelian varieties fully of type  $\text{GSp}$  is analogous, see [8, Lem. 5.7]. □

The following theorem is an easy application of results of Hindry, Ratazzi and Lombardo:

**Theorem 3.2.** *Let  $A_1, \dots, A_N$  be admissible abelian varieties defined over a number field  $K$ , in pairs not  $\bar{K}$ -isogenous. Then there is some integer  $c > 0$  such that the following holds: for every prime number  $\ell$  the extensions  $K(A_i[\ell])$  for  $i = 1, \dots, N$  are linearly disjoint over some Galois extension of  $K(\mu_\ell)$  of degree dividing  $c$ .*

*Proof.* Up to increasing  $c$ , it suffices to find an extension of  $K(\mu_\ell)$  of degree at most  $c$ , rather than dividing  $c$ . Since the Galois closure of an extension of degree  $d$  has degree at most  $d!$ , it is also not a problem to require that the extension is Galois, again up to increasing  $c$ . For  $N$  elliptic curves, we may apply [4, Prop. 6.2]  $N - 1$  times, where the assumptions are satisfied by [4, Lem. 2.4 and Thm. 2.10]. Note, the finite index in [4, Prop. 6.2] is independent of  $\ell$  because the same is true for the cokernel in [4, Thm. 2.10]. If the abelian varieties are all fully of type  $\text{GSp}$  then the assertion is proven in [5, Thm. 1.4 (2) and (3)].

Recall that elliptic curves without CM are fully of type  $\text{GSp}$ . Then the mixed case consists of one product of abelian varieties fully of type  $\text{GSp}$  times one product of elliptic curves with CM. Up to multiplying  $c$  by a finite constant, we may suppose that the CM of each elliptic curve is defined over  $K$ . We apply Theorem 3.3 to conclude. □

The following statement relates to results in [5] and [7]:

**Theorem 3.3** (Lombardo 2015). *Let  $A = \prod_{i=1}^n A_i$  and  $B = \prod_{j=1}^m B_j$  be abelian varieties defined over  $K$ . Suppose that  $A_1, \dots, A_n$  are fully of type  $\text{GSp}$ , in pairs not  $\bar{K}$ -isogenous. Suppose that  $B_1, \dots, B_m$  are elliptic curves with CM defined over  $K$ , in pairs not  $\bar{K}$ -isogenous. Then for every prime number  $\ell \gg 0$  the torsion fields  $K(A[\ell])$  and  $K(B[\ell])$  are linearly disjoint over  $K(\mu_\ell)$ .*

*Proof.* Since we are assuming that the CM of the elliptic curves is defined over  $K$ , the extension  $K(B[\ell])/K(\mu_\ell)$  is abelian. By Lemma 3.4 we know that for  $\ell \gg 0$  the group  $K(A[\ell])/K(\mu_\ell)$  does not have any non-trivial abelian quotients. By a straight-forward application of the Goursat's Lemma we deduce that  $K(A[\ell])$  and  $K(B[\ell])$  are linearly disjoint over  $K(\mu_\ell)$ .  $\square$

If  $g$  is a positive integer, we denote by  $\nu : \text{GSp}_{2g}(\mathbb{F}_\ell) \rightarrow \mathbb{F}_\ell^\times$  the multiplier map. The kernel of  $\nu$  is  $\text{Sp}_{2g}(\mathbb{F}_\ell)$ .

**Lemma 3.4.** *Let  $A = \prod_{i=1}^n A_i$ , where  $A_1, \dots, A_n$  are abelian varieties defined over  $K$ , fully of type  $\text{GSp}$  and in pairs not  $\bar{K}$ -isogenous. For every  $\ell \gg 0$  the group  $\text{Gal}(K(A[\ell])/K)$  equals*

$$\{(\sigma_1, \dots, \sigma_n) \in \prod_{i=1}^n \text{GSp}_{2 \dim(A_i)}(\mathbb{F}_\ell) \mid \nu(\sigma_i) = \nu(\sigma_{i'}) \forall i, i' = 1, \dots, n\}$$

so in particular we have  $\text{Gal}(K(A[\ell])/K(\mu_\ell)) = \prod_{i=1}^n \text{Sp}_{2 \dim(A_i)}(\mathbb{F}_\ell)$  and this group does not have any non-trivial abelian quotients.

*Proof.* We write

$$G_\ell := \text{Gal}(K(A[\ell])/K) \quad \text{and} \quad H_\ell := \text{Gal}(K(A[\ell])/K(\mu_\ell)).$$

By assumption we can identify  $\text{Gal}(K(A_i[\ell])/K)$  with  $\text{GSp}_{2 \dim(A_i)}(\mathbb{F}_\ell)$  and  $\text{Gal}(K(A_i[\ell])/K(\mu_\ell))$  with  $\text{Sp}_{2 \dim(A_i)}(\mathbb{F}_\ell)$  for every  $\ell \gg 0$ .

Let  $\sigma \in G_\ell$  and for  $i = 1, \dots, n$  denote by  $\sigma_i$  the restriction of  $\sigma$  to  $K(A_i[\ell])$ . Since the restriction of  $\sigma_i$  to  $K(\mu_\ell)$  is independent of  $i$  and is determined by the multiplier  $\nu(\sigma_i)$ , we deduce that the condition  $\nu(\sigma_i) = \nu(\sigma_{i'})$  for every  $i, i' = 1, \dots, n$  must hold. We have thus shown that  $G_\ell$  is contained in the set as in the statement.

For every  $\ell \gg 0$  the cyclotomic character  $\chi_\ell : G_K \rightarrow \mathbb{F}_\ell^\times$  is surjective: since automorphisms of  $K(\mu_\ell)$  can be extended to  $K(A[\ell])$  we deduce that  $\nu(\sigma_i)$  takes all values in  $\mathbb{F}_\ell^\times$  by varying  $\sigma$ . Thus we are left to show that

$$H_\ell = \prod_{i=1}^n \text{Sp}_{2 \dim(A_i)}(\mathbb{F}_\ell)$$

holds for every  $\ell \gg 0$ . By assumption the Hodge group of  $A_i$  equals  $\text{Sp}_{2 \cdot \dim A_i}$  and the strong Mumford Tate conjecture [5, Conj. 1.2] holds for  $A_i$ . Then by [5, Thm. 1.4] the Hodge group of  $A$  is  $\prod_i \text{Sp}_{2 \dim(A_i)}$  and the

strong Mumford Tate conjecture holds for  $A$ . Consequently the index of  $H_\ell$  inside  $\prod_i \mathrm{Sp}_{2 \dim(A_i)}(\mathbb{F}_\ell)$  is bounded by a constant that is independent of  $\ell$ . For  $\ell \gg 0$  the index must be 1 because the index  $m$  of a proper subgroup of  $\mathrm{Sp}_{2g}(\mathbb{F}_\ell)$  satisfies  $m! \geq \frac{1}{2} \cdot \#\mathrm{Sp}_{2g}(\mathbb{F}_\ell) \geq \ell$ , see for example [5, Lem. 2.5 and 2.13].

For the last assertion it suffices to consider the projections of some abelian quotient of  $H_\ell$ : these are trivial because for  $\ell \gg 0$  the group  $\mathrm{Sp}_{2g}(\mathbb{F}_\ell)$  has no non-trivial abelian quotients.  $\square$

We will use the following application of the above theorem:

**Lemma 3.5.** *Let  $A_1, \dots, A_n, A'_1, \dots, A'_m$  be admissible abelian varieties defined over a number field  $K$ , in pairs not  $\bar{K}$ -isogenous. Then for every prime number  $\ell \gg 0$  we may find  $\sigma \in \mathrm{Gal}(\bar{K}/K)$  such that  $\sigma$  acts as the identity on  $A_i[\ell]$  for every  $i = 1, \dots, n$  and does not fix any point in  $A'_i[\ell] \setminus \{0\}$  for every  $i = 1, \dots, m$ .*

*Proof.* We may suppose for elliptic curves with CM that this is defined over  $K$  because if the requested property holds over a finite Galois extension of  $K$  then it also holds over  $K$ . Let  $c$  be as in Theorem 3.2 for the varieties  $A_1, \dots, A_n, A'_1, \dots, A'_m$ . Without loss of generality it suffices to show that the following holds for every prime number  $\ell \gg 0$ : any normal subgroup of index dividing  $c$  of the Galois group of  $K(A_1[\ell])/K(\mu_\ell)$  contains an automorphism that does not fix any point in  $A_1[\ell] \setminus \{0\}$ . If  $A_1$  is an elliptic curve that has CM over  $K$  and  $\ell \gg 0$  then all elements of  $K(A_1[\ell])/K(\mu_\ell)$  correspond to semi simple matrices of determinant 1 thus every such matrix that is not the identity does not fix any point in  $A_1[\ell] \setminus \{0\}$ . Now suppose that  $A_1$  is fully of type  $\mathrm{GSp}$ , and let  $g = \dim A_1$ . Consider the diagonal matrices of the form

$$\begin{pmatrix} \lambda \mathrm{Id}_g & \\ & \lambda^{-1} \mathrm{Id}_g \end{pmatrix}$$

where  $\lambda$  is in the multiplicative group  $\mathbb{F}_\ell^\times$  and  $\lambda^{-1}$  is the inverse of  $\lambda$ . These matrices belong to  $\mathrm{GSp}_{2g}(\mathbb{F}_\ell)$  and have multiplier 1 hence they are in the Galois group of  $K(A_1[\ell])/K(\mu_\ell)$ , see also [8, Lem. 2.2]. By taking  $\ell$  sufficiently large we have  $\ell - 1 > 2c$  so any normal subgroup of index dividing  $c$  of this Galois group contains a matrix of the above type with  $\lambda \neq 1$  hence not fixing any point in  $A_1[\ell] \setminus \{0\}$ .  $\square$

#### 4. Proof of the theorems

*Proof of Theorem 1.2.* We first exclude the possibility that one of the two abelian varieties is an elliptic curve with CM and the other is fully of type  $\mathrm{GSp}$ . Since these two abelian varieties are not  $\bar{K}$ -isogenous then the assumption on the torsion fields does not hold by Theorem 3.2. We may



now assume that  $A, A'$  are both elliptic curves or are both fully of type GSp.

For two elliptic curves, we first reduce to the case where the CM is defined over  $K$ . Indeed, if  $L := K\mathcal{E}\mathcal{E}'$  then we have  $LK_\ell \subseteq LK'_\ell$  for every  $\ell \in \Lambda$  so the assumptions of the theorem also hold over  $L$ . We may then apply the theorem over  $L$  and use Lemma 2.3 to show that since  $A$  and  $A'$  are  $L$ -isogenous then they are also  $K$ -isogenous.

We now prove that  $A$  and  $A'$  are  $\bar{K}$ -isogenous. For elliptic curves we have: by [2, Thm. 3.5 and Prop. 2.8] (applied with  $E_1 = A'$  and  $E_2 = A$  and  $c = 1$ ) then either  $A, A'$  both have CM or they both do not have CM and moreover the two elliptic curves are  $\bar{K}$ -isogenous. If  $A$  and  $A'$  are fully of type GSp then the assumptions of [8, Thm. 1.5] are satisfied (setting  $c = 1$ ) hence we deduce that  $A$  and  $A'$  are  $\bar{K}$ -isogenous.

We conclude the proof by showing that any  $\bar{K}$ -isogeny is defined over  $K$ . Let  $f : A \rightarrow A'$  be a  $\bar{K}$ -isogeny of degree  $d$  defined over some finite Galois extension  $F$  of  $K$ . Let  $\sigma$  be in  $\text{Gal}(F/K)$ . We want to prove  $f - \sigma f = 0$  and we accomplish this by showing that the kernel of  $f - \sigma f$  contains  $A[\ell]$  for infinitely many prime numbers  $\ell$ . Indeed, if  $\ell \gg 0$  and if  $K_\ell \subseteq K'_\ell$  then we have

$$F \cap K_\ell K'_\ell = F \cap K'_\ell = K$$

by applying to  $A'$  Proposition 3.1. In particular, we may extend  $\sigma$  to  $FK_\ell K'_\ell$  and suppose that  $\sigma$  acts as the identity on  $K_\ell K'_\ell$ . Then for every  $R \in A[\ell]$  we have  ${}^\sigma R = R$  and  ${}^\sigma(f(R)) = f(R) \in A'[\ell]$ . So we have

$${}^\sigma f(R) = {}^\sigma f({}^\sigma R) = {}^\sigma(f(R)) = f(R)$$

hence  $(f - \sigma f)(R) = f(R) - \sigma f(R) = 0$  for every  $R \in A[\ell]$ . □

*Proof of Theorem 1.1.* For two elliptic curves, we first reduce to the case where the CM is defined over  $K$ . Consider the field  $L := K\mathcal{E}\mathcal{E}'$ . For a density-one set of primes  $\mathfrak{q}$  of  $L$  we have:  $\mathfrak{q}$  is of good reduction for  $A$  and  $A'$ ; the prime  $\mathfrak{p} := \mathfrak{q} \cap K$  is in  $S$ ;  $\mathfrak{q}$  has degree one hence  $k_{\mathfrak{q}} = k_{\mathfrak{p}}$ . We deduce that the assumptions of the theorem hold for  $L$  if they hold for  $K$ . Then it suffices to apply Lemma 2.3 to conclude.

By Theorem 1.2, it suffices to show that for all prime numbers  $\ell \gg 0$  as in the statement we have  $K_\ell \subseteq K'_\ell$ . The proof goes as in [3, Lem. 5] and [8, §5.1]: we apply Lemma 2.2 and under the assumption  $\Phi_\ell \leq \Phi'_\ell$  we get  $K_\ell \subseteq K'_\ell$ . □

*Proof of Theorem 1.3.* Both conditions also hold over a finite extension of  $K$  because every number field has a density-one set of primes of degree one (the corresponding residue fields are unchanged). Since we are only interested in a  $\bar{K}$ -isogeny we may then replace  $K$  by a finite Galois extension and assume that all homomorphisms are defined over  $K$ . In particular, the

simple factors of the Poincaré Reducibility Theorem decomposition of  $A$  and  $A'$  are geometrically simple and every geometrically simple  $\bar{K}$ -quotient of  $A$  (respectively, of  $A'$ ) is  $\bar{K}$ -isogenous to a factor of  $A$  (respectively, of  $A'$ ). The assumptions are also invariant under a  $K$ -isogeny so we may suppose that the factors of  $A$  and  $A'$  are in pairs either equal or not  $\bar{K}$ -isogenous.

*Proof of (1):* We first reduce to the case where  $A$  and  $A'$  have no common factor. Let  $B$  be a common factor of  $A$  and  $A'$ . If  $A/B = A'/B = 0$  then  $A = A' = B$  and the statement is proven. If without loss of generality  $A/B = 0$  and  $A'/B \neq 0$  then we find a contradiction. Indeed, there is a positive density of primes  $\mathfrak{p}$  splitting completely in the field  $K(A'/B[2])$  and in particular such that  $\#A'/B(k_{\mathfrak{p}})$  is even. Since  $S$  is a set of density-one, there are primes as such in  $S$  and they satisfy

$$\#(A/B)(k_{\mathfrak{p}}) = 1 \quad \text{and} \quad \#(A'/B)(k_{\mathfrak{p}}) \neq 1 \quad \text{hence} \quad \#A(k_{\mathfrak{p}}) \neq \#A'(k_{\mathfrak{p}})$$

against the assumptions. Now suppose that  $A/B$  and  $A'/B$  are both non-zero. Then these varieties again satisfy the assumptions in the statement. Moreover, having a  $\bar{K}$ -isogeny between  $A/B$  and  $A'/B$  implies that  $A$  and  $A'$  are  $\bar{K}$ -isogenous. We may then iterate the above process and reduce to the case where the given abelian varieties have no common factor.

Let  $A_1, \dots, A_n$  be the different factors of  $A$  and let  $A'_1, \dots, A'_m$  be the different factors of  $A'$ . By Lemma 3.5 we can find a prime number  $\ell$  and  $\sigma$  in  $\text{Gal}(\bar{K}/K)$  such that  $\sigma$  acts as the identity on  $A_i[\ell]$  for every  $i = 1, \dots, n$  and does not fix any point in  $A'_j[\ell] \setminus \{0\}$  for every  $j = 1, \dots, m$ . By applying the Chebotarev Density Theorem with respect to the compositum of the extensions  $K(A_i[\ell])$  and  $K(A'_j[\ell])$  for every  $i, j$  we find a positive density of primes  $\mathfrak{p}$  of  $K$  such that  $\ell \mid \#A(k_{\mathfrak{p}})$  and  $\ell \nmid \#A'(k_{\mathfrak{p}})$ , contradicting the assumptions.

*Proof of (2):* We may suppose that  $A$  (respectively  $A'$ ) does not have repeated factors because neither the assumptions nor the conclusions would be affected. We have already reduced to the case where every geometrically simple  $\bar{K}$ -quotient of  $A$  (respectively, of  $A'$ ) is  $\bar{K}$ -isogenous to a factor of  $A$  (respectively, of  $A'$ ), and where the factors of  $A$  and  $A'$  are in pairs either equal or not  $\bar{K}$ -isogenous. Then it suffices to prove that every factor of  $A$  is also a factor of  $A'$ . Let  $A'_1, \dots, A'_m$  with  $m \geq 1$  be the different factors of  $A'$  and suppose that  $A_1$  is a factor of  $A$  which is not one of  $A'_1, \dots, A'_m$ . Analogously to the proof of the first assertion, we may apply Lemma 3.5 to find a prime number  $\ell$  satisfying the condition in the statement and a positive density of primes  $\mathfrak{p}$  of  $K$  such that  $\ell \mid \#A(k_{\mathfrak{p}})$  and  $\ell \nmid \#A'(k_{\mathfrak{p}})$ , contradiction.  $\square$

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