

ALEKSANDAR IVIĆ

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On the number of subgroups of finite abelian groups

par ALEKSANDAR IVIĆ

RÉSUMÉ. Soit

$$T(x) = K_1 x \log^2 x + K_2 x \log x + K_3 x + \Delta(x),$$

où $T(x)$ désigne le nombre de sous groupes des groupes abéliens dont l'ordre n'excède pas x et dont le rang n'excède pas 2, et $\Delta(x)$ est le terme d'erreur. On démontre que

$$\int_1^X \Delta^2(x) dx \ll X^2 \log^{31/3} X, \int_1^X \Delta^2(x) dx = \Omega(X^2 \log^4 X).$$

ABSTRACT. Let

$$T(x) = K_1 x \log^2 x + K_2 x \log x + K_3 x + \Delta(x),$$

where $T(x)$ denotes the number of subgroups of all Abelian groups whose order does not exceed x and whose rank does not exceed 2, and $\Delta(x)$ is the error term. It is proved that

$$\int_1^X \Delta^2(x) dx \ll X^2 \log^{31/3} X, \int_1^X \Delta^2(x) dx = \Omega(X^2 \log^4 X).$$

1. Introduction

Let

$$t_2(n) = \sum_{|\mathcal{G}|=n, r(\mathcal{G}) \leq 2} \tau(\mathcal{G}), H(s) = \sum_{n=1}^{\infty} t_2(n) n^{-s} \quad (\Re s > 1),$$

where $\tau(\mathcal{G})$ denotes the number of subgroups of a finite Abelian group \mathcal{G} , $r(\mathcal{G})$ is the rank of \mathcal{G} , and $|\mathcal{G}|$ is the order of \mathcal{G} . The group \mathcal{G} has rank r if

$$\mathcal{G} \cong \mathbb{Z}/n_1\mathbb{Z} \otimes \cdots \otimes \mathbb{Z}/n_r\mathbb{Z},$$

where $n_j \mid n_{j+1}$ for $j = 1, \dots, r - 1$. We set

$$T(x) = \sum_{n \leq x} t_2(n) = \sum_{|\mathcal{G}| \leq x, r(\mathcal{G}) \leq 2} \tau(\mathcal{G})$$

so that one has

$$(1.1) \quad T(x) = K_1 x \log^2 x + K_2 x \log x + K_3 x + \Delta(x),$$

where K_j are effective constants and $\Delta(x)$ is to be considered as the error term in the asymptotic formula for $T(x)$. One has the Dirichlet series representation (this is due to G. Bhowmik [1]; the generating Dirichlet series for Abelian groups of rank ≥ 3 are more complicated)

$$(1.2) \quad H(s) = \zeta^2(s)\zeta^2(2s)\zeta(2s - 1) \prod_p (1 + p^{-2s} - 2p^{-3s}) \quad (\Re s > 1/2).$$

Using (1.2) and the estimate in the four-dimensional asymmetric divisor problem of H.-Q. Liu [6], G. Bhowmik and H. Menzer [2] obtained the bound

$$(1.3) \quad \Delta(x) \ll x^{c+\varepsilon}$$

with $c = 31/43 = 0.72093\dots$. Recently H. Menzer [6] used two new estimates in the three-dimensional asymmetric divisor problem to prove (1.3) with the better value $c = 9/14 = 0.64285\dots$, and this is further improved in the forthcoming paper by G. Bhowmik and J. Wu [3] to $\Delta(x) \ll x^{5/8} \log^4 x$. Note that we can write (1.2) as

$$(1.4) \quad \begin{aligned} H(s) &= \zeta^2(s)\zeta^3(2s)\zeta(2s - 1)U(s), \\ U(s) &= \prod_p (1 - 2p^{-3s} - p^{-4s} + 2p^{-5s}), \end{aligned}$$

where the Dirichlet series for $U(s)$ is absolutely convergent for $\Re s > 1/3$. This prompts one to think that in (1.1) there should be a new main term corresponding to the pole of order 3 of $H(s)$ at $s = 1/2$, namely that we should have

$$(1.5) \quad \begin{aligned} \Delta(x) &= x^{1/2}(C_1 \log^2 x + C_2 \log x + C_3) + E(x), \\ E(x) &= o(x^{1/2} \log^2 x) \quad (x \rightarrow \infty), \end{aligned}$$

where $C_1 \neq 0$ (one cannot hope for $E(x) = o(x^{1/2})$ since in [3] it was shown that $E(x) = \Omega(x^{1/2}(\log \log x)^6)$ holds). Even if the relation (1.5) is perhaps too optimistic, it is very likely that $\Delta(x) \ll x^{1/2+\varepsilon}$ holds, and that $\Delta(x)$ cannot be of order lower than $x^{1/2} \log^2 x$. In fact H. Menzer [5] conjectured that

$$(1.6) \quad \Delta(x) = \Omega(x^{1/2} \log^2 x).$$

This was proved by Bhowmik and Wu [3], which is a corollary of their bound

$$\int_0^X E(x) dx \ll X^{11/8+\varepsilon}.$$

Since heuristically in (1.5) the terms $x^{1/2}(C_1 \log^2 x + C_2 \log x + C_3)$ are the residue of $H(s)x^s/s$ at $s = 1/2$ it is not difficult to see that the constant C_1 is negative, so actually in (1.6) Ω is Ω_- , i.e. the Ω -result of Bhowmik and Wu is

$$\liminf_{x \rightarrow \infty} \frac{\Delta(x)}{x^{1/2} \log^2 x} < 0.$$

The object of this note is to investigate $\Delta(x)$ in mean square, and we shall prove two fairly precise results contained in

THEOREM 1. *We have*

$$(1.7) \quad \int_1^X \Delta^2(x) dx \ll X^2(\log X)^{31/3}.$$

THEOREM 2. *We have*

$$(1.8) \quad \int_1^X \Delta^2(x) dx = \Omega(X^2 \log^4 X).$$

Remark 1. The omega-result (1.8) implies another proof of Menzer's conjecture (1.6).

Remark 2. It is plausible to conjecture that, for $X \rightarrow \infty$ and suitable $C > 0$, one has

$$\int_1^X \Delta^2(x) dx \sim CX^2 \log^4 X,$$

although this seems to be out of reach at present.

Remark 3. It will be clear from the proof of Theorem 2 that the method is capable of generalization to the case where the error term in question corresponds to the Dirichlet series generated by suitable factors of the form $\zeta(as + b)$ (a, b integers).

2. Proof of the upper bound estimate

To prove Theorem 1 we start from the relation

$$(2.1) \quad \int_0^\infty \Delta^2(x) x^{-2c-1} dx = \frac{1}{2\pi} \int_{-\infty}^\infty \left| \frac{H(c+it)}{c+it} \right|^2 dt,$$

where $c > 0$ is a suitable constant. The formula (2.1) follows from the properties of Mellin transforms, similarly as in the case of the classical divisor problem (see (13.23) on p. 357 of [4]). If the integral on the left-hand side of (2.1) converges, so does the integral on the right-hand side and conversely. We shall need the following facts about $\zeta(s)$ (see [4] for proofs):

$$\zeta(\sigma + it) \ll \left(t^{C(1-\sigma)^{3/2}} + 1 \right) \log^{2/3} t \quad (1/2 \leq \sigma \leq 2, t \geq t_0 > 0, C > 0),$$

$$(2.2) \quad \begin{aligned} \zeta(s) &= \chi(s)\zeta(1-s), \\ t^{1/2-\sigma} &\ll |\chi(s)| \ll t^{1/2-\sigma} \quad (s = \sigma + it, t \geq t_0 > 0), \\ \int_1^T |\zeta(\sigma + it)|^4 dt &\ll T \log^4 T \quad (1/2 \leq \sigma \leq 1). \end{aligned}$$

The last bound follows e.g. from Th. 4.4 and Th. 5.2 of [4]. Now we take $c = 1/2 + 1/\log X$, $X \geq X_0 > 0$. Then from (1.4) and (2.1) we obtain first

$$(2.3) \quad \begin{aligned} &\int_X^{2X} \Delta^2(x) dx \\ &\ll X^2 \int_{-\infty}^\infty |\zeta^2(c+it)\zeta(2c-1+2it)\zeta^3(2c+2it)|^2 |c+it|^{-2} dt. \end{aligned}$$

Let

$$(2.4) \quad U := \exp(10 \log X \log \log X).$$

By symmetry we have

$$\int_{-\infty}^{\infty} \leq 2 \left(\int_0^1 + \int_1^U + \int_U^{\infty} \right) = 2I_1 + 2I_2 + 2I_3,$$

say. Since $\zeta(s) \ll 1/|s - 1|$ near $s = 1$, we have $I_1 \ll \log^6 X$. Using (2.2) it follows that ($C_1 > 0$ is a constant)

$$\begin{aligned} I_2 &\ll \int_1^U t^{-1-4/\log X} \left| \zeta\left(\frac{1}{2} + \frac{1}{\log X} + it\right) \right|^4 \times \\ &\quad \times \left| \zeta\left(1 - \frac{2}{\log X} + 2it\right) \right|^2 \left| \zeta\left(1 + \frac{2}{\log X} + 2it\right) \right|^6 dt \\ &\ll \int_1^U \left| \zeta\left(\frac{1}{2} + \frac{1}{\log X} + it\right) \right|^4 t^{-1-\frac{4}{\log X} + C_1(\log X)^{-3/2}} \log^{16/3} t dt \\ &\ll \int_1^U \left| \zeta\left(\frac{1}{2} + \frac{1}{\log X} + it\right) \right|^4 t^{-1-\frac{3}{\log X}} \log^{16/3} t dt. \end{aligned}$$

Let

$$W(t) := \int_1^t \left| \zeta\left(\frac{1}{2} + \frac{1}{\log X} + iv\right) \right|^4 dv, \quad f(t) := t^{-1-\frac{3}{\log X}} \log^{16/3} t.$$

By integration by parts it follows that

$$I_2 \ll \int_1^U f(t) dW(t) \ll W(U)f(U) + \left| \int_1^U W(t)f'(t) dt \right|.$$

But we have

$$W(U)f(U) \ll U^{-3/\log X} \log^{28/3} U \ll e^{-30 \log \log X} (\log X \log \log X)^{28/3} \ll 1$$

and

$$\begin{aligned} \int_1^U W(t)f'(t) dt &\ll \int_1^U t^{-1-3/\log X} \log^{28/3} t dt \\ &= \log^{31/3} X \int_0^{10 \log \log X} e^{-3v} v^{28/3} dv \ll \log^{31/3} X \end{aligned}$$

with the change of variable $v = \log t / \log X$. We also have

$$\begin{aligned} \int_M^{2M} &\ll \int_M^{2M} \left| \zeta\left(\frac{1}{2} + \frac{1}{\log X} + it\right) \right|^4 t^{-1 - \frac{4}{\log X} + C_1(\log X)^{-3/2}} \log^{16/3} t \, dt \\ &\ll M^{-2/\log X} \log^{28/3} M \leq M^{-1/\log X} \end{aligned}$$

for $M \geq U$, as given by (2.4). Hence ($M = 2^{j-1}U$)

$$I_3 = \int_U^\infty = \sum_{j=1}^\infty \int_{2^{j-1}U}^{2^jU} \ll \sum_{j=1}^\infty \exp\left(-\frac{j \log 2 + \log U}{\log X}\right) \ll 1.$$

Therefore

$$I_1 + I_2 + I_3 \ll (\log X)^{31/3},$$

and (2.3) gives

$$\int_X^{2X} \Delta^2(x) \, dx \ll X^2 (\log X)^{31/3}.$$

Replacing X by $X2^{-j}$, $j \in \mathbb{N}$ and summing the resulting estimates we obtain (1.7).

3. Proof of the omega-result

To prove the omega-result of Theorem 2 we shall use the method used in proving Theorem 2 of [5], with the necessary modifications. Namely in [5] the generating Dirichlet series was of the form

$$(3.1) \quad \zeta(a_1 s) \zeta(a_2 s) \cdots \zeta(a_k s),$$

where $1 \leq a_1 \leq a_2 \leq \dots \leq a_k$ are integers, with k possibly infinite (e.g the generating series of the function $a(n)$, the number of non-isomorphic Abelian groups with n elements, is (3.1) with $a_j = j$, $k = \infty$). The Dirichlet series $H(s)$ (see (1.4)) is clearly not of the form (3.1), since it contains the factor $\zeta(2s - 1)$. Writing

$$H(s) = \zeta(2s - 1)V(s), \quad V(s) = \sum_{n=1}^\infty v(n)n^{-s} \quad (\Re s > 1),$$

it is seen that $v(n) \ll_\epsilon n^\epsilon$, and consequently we obtain

$$(3.2) \quad t_2(n) = \sum_{k^2 m = n} kv(m) \ll n^{\frac{1}{2} + \frac{\epsilon}{2}} \sum_{k^2 m = n} 1 \ll n^{\frac{1}{2} + \frac{\epsilon}{2}} d(n) \ll n^{\frac{1}{2} + \epsilon},$$

where $d(n)$ is the number of divisors of n . We remark that Bhowmik and Wu [3] proved the sharper bound $t_2(n) \ll n^{1/2}(\log \log n)^6$, but for our purposes (3.2) is more than sufficient. As on p. 82 of [5] we start from the Mellin inversion integral (see also p. 122 of [4])

$$(3.3) \quad e^{-U^h} = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} U^{-w} \Gamma\left(1 + \frac{w}{h}\right) \frac{dw}{w},$$

where $h, U > 0$. We shall take $T^{1-\delta} \leq t \leq T, h = \log^2 T, s = \frac{1}{2} + it, Y = T^B$, where $\delta > 0$ is a sufficiently small constant and $B > 1$ is a suitable constant. Setting $U = n/Y$ we obtain from (3.3), by termwise integration,

$$\sum_{n=1}^{\infty} t_2(n) n^{-s} e^{-(n/Y)^h} = \frac{1}{2\pi i} \int_{2-i\infty}^{2+i\infty} H(s+w) Y^w \Gamma\left(1 + \frac{w}{h}\right) \frac{dw}{w}.$$

We shift the line of integration to $\Re w = -1/4$ and apply the residue theorem. The pole $w = 0$ of the integrand gives the residue $H(s)$, while the poles of $H(s+w)$ give a total contribution which is $O(1)$ in view of Stirling's formula for the gamma-function. The integral along the line $\Re w = -1/4$ is bounded, and we obtain

$$(3.4) \quad \begin{aligned} H(s) &= \sum_{n=1}^{\infty} t_2(n) n^{-s} e^{-(n/Y)^h} + O(1) \\ &= \sum_{n \leq T} t_2(n) n^{-s} e^{-(n/Y)^h} + \sum_{T < n \leq 2Y} t_2(n) n^{-s} e^{-(n/Y)^h} + O(1). \end{aligned}$$

The idea of proof is as follows. We shall prove that

$$(3.5) \quad \int_{T^{1-\delta}}^T |H(\frac{1}{2} + it)|^2 t^{-2} dt \gg \log^5 T,$$

and then use (3.4) to show that (3.5) gives a contradiction if we assume that (1.8) does not hold, namely that we have

$$(3.6) \quad \int_1^X \Delta^2(x) dx = o(X^2 \log^4 X) \quad (X \rightarrow \infty).$$

To prove (3.5) it is enough to prove that

$$(3.7) \quad \int_M^{2M} |H(\frac{1}{2} + it)|^2 t^{-2} dt \gg \log^4 M,$$

since (3.7) gives ($M = T2^{-j}$, $N = [\delta \log T/4]$)

$$\begin{aligned} \int_{T^{1-\delta}}^T |H(\tfrac{1}{2} + it)|^2 t^{-2} dt &\geq \sum_{j=1}^N \int_{T2^{-j-1}}^{T2^{-j}} |H(\tfrac{1}{2} + it)|^2 t^{-2} dt \\ &\gg \log T \cdot \log^4 T = \log^5 T. \end{aligned}$$

Using (1.4) and (2.2) we have

$$\begin{aligned} &\int_M^{2M} |H(\tfrac{1}{2} + it)|^2 t^{-2} dt \\ &\gg M^{-2} \int_M^{2M} |\zeta(\tfrac{1}{2} + it)|^4 |\zeta(1 + 2it)|^6 |\zeta(2it)|^2 dt \\ &\gg M^{-1} \int_M^{2M} |\zeta^2(\tfrac{1}{2} + it)|^4 |1 + 2it|^2 dt. \end{aligned}$$

Now let $F(s) := \zeta^2(s)\zeta^4(2s)$ and use a general lower bound for mean values of Dirichlet series (see e.g. K. Ramachandra [8], [9]; note that the factor $1/n$ is missing in (4.2) of [5]):

$$(3.8) \quad \frac{1}{M} \int_M^{2M} |F(\tfrac{1}{2} + it)|^2 dt \gg \sum_{n \leq M/100} \frac{|c(n)|^2}{n} \left(1 - \frac{\log n}{\log M} + \frac{1}{\log \log M} \right),$$

where $F(s) = 1 + \sum_{n=2}^{\infty} c(n)n^{-s}$ converges for $\Re s = \sigma \geq \sigma_0$, $F(s)$ is regular for $\Re s \geq 1/2$, $M \leq t \leq 2M$ and both $F(s) \ll e^{M^D}$ and $c(n) \ll M^D$ hold for some $D > 0$. In our case

$$c(n) = \sum_{km^2=n} d(k)d_4(m) \geq d(n),$$

where the divisor function $d_4(n)$ is generated by $\zeta^4(s)$. Hence (3.8) yields

$$\begin{aligned} \frac{1}{M} \int_M^{2M} |H(\tfrac{1}{2} + it)|^2 t^{-2} dt &\gg \sum_{n \leq \sqrt{M}} \frac{c^2(n)}{n} \left(1 - \frac{\log n}{\log M} + \frac{1}{\log \log M} \right) \\ &\gg \sum_{n \leq \sqrt{M}} \frac{d^2(n)}{n} \gg \log^4 M \end{aligned}$$

by partial summation from $\sum_{n \leq x} d^2(n) \sim Cx \log^3 x$ ($C > 0$). Thus (3.5) is proved, and it remains to see how it leads to the proof of Theorem 2.

To obtain the left-hand side of (3.5) from (3.4), we shall divide (3.4) by t , square and integrate over $T^{1-\delta} \leq t \leq T$. We use the mean value theorem for Dirichlet polynomials (see Theorem 5.2 of [4]) to deduce that

$$\begin{aligned}
 & \int_{T^{1-\delta}}^T \left| \sum_{n \leq T} t_2(n) n^{-1/2-it} e^{-(n/Y)^h} \right|^2 t^{-2} dt \\
 & \ll T^{2\delta-2} \sum_{j \geq 1} 2^{-2j} \int_{2^{j-1}T^{1-\delta}}^{2^j T^{1-\delta}} \left| \sum_{n \leq T} t_2(n) n^{-1/2-it} e^{-(n/Y)^h} \right|^2 dt \\
 (3.9) \quad & \ll T^{2\delta-2} \sum_{j \geq 1} 2^{-2j} \sum_{n \leq T} t_2^2(n) n^{-1} (n + 2^j T^{1-\delta}) \\
 & \ll T^{2\delta-3/2+\varepsilon/2} \sum_{j \geq 1} 2^{-2j} \sum_{n \leq T} t_2(n) n^{-1} (n + 2^j T^{1-\delta}) \\
 & \ll T^{2\delta+\varepsilon-1/2} \ll T^{-\varepsilon}
 \end{aligned}$$

for δ sufficiently small, where we used the bound (3.2) and the trivial bound

$$\sum_{n \leq x} t_2(n) \ll xH\left(1 + \frac{1}{\log x}\right) \ll x\zeta^2\left(1 + \frac{1}{\log x}\right)\zeta\left(1 + \frac{2}{\log x}\right) \ll x \log^3 x.$$

It remains to evaluate

$$(3.10) \quad I := \int_{T^{1-\delta}}^T \left| \sum_{T < n \leq 2Y} t_2(n) n^{-1/2-it} e^{-(n/Y)^h} \right|^2 t^{-2} dt.$$

This is done again by the use of the mean value theorem for Dirichlet polynomials. However first we integrate by parts and use (1.1) to obtain

$$\begin{aligned}
 & \sum_{T < n \leq 2Y} t_2(n) n^{-1/2-it} e^{-(n/Y)^h} = \int_T^{2Y} x^{-1/2-it} e^{-(x/Y)^h} dT(x) \\
 & + \int_T^{2Y} (K_1 \log^2 x + (2K_1 + K_2) \log x + K_2 + K_3) x^{-1/2-it} e^{-(x/Y)^h} dx \\
 & + \int_T^{2Y} x^{-1/2-it} e^{-(x/Y)^h} d\Delta(x) = I_1 + I_2,
 \end{aligned}$$

say. By the first derivative test (Lemma 2.1 of [4]) it is seen that $I_1 \ll YT^{-1/2}t^{-1} \log^2 T$. Hence the contribution of I_1 to I will be

$$\ll \int_{T^{1-\delta}}^\infty t^{-4} Y^2 T^{-1} \log^4 T dt \ll Y^2 T^{3\delta-4} \log^4 T \ll T^{-\varepsilon}$$

for $B < 2 - \frac{3}{2}\delta$. In I_2 we use integration by parts and the bound $\Delta(x) \ll x^{2/3}$ (see (1.3)) to obtain

$$I_2 = O(T^{1/6}) + \int_T^{2Y} \Delta(x) e^{-(x/Y)^h} \left(h \left(\frac{x}{Y} \right)^h + \frac{1}{2} + it \right) x^{-3/2-it} dx.$$

The contribution of the O -term to I will be negligible, and so will be also the contribution of $h(x/Y)^h + 1/2$ if $B < 3 - 3\delta$. The main contribution to I from I_2 will be from the term it . This is

$$\begin{aligned} & \int_{T^{1-\delta}}^T \left| \int_T^{2Y} \Delta(x) e^{-(x/Y)^h} x^{-3/2-it} dx \right|^2 dt \\ &= O(1) + \int_{T^{1-\delta}}^T \left| \sum_{[T] \leq n \leq [2Y]} \int_n^{n+1} \Delta(x) e^{-(x/Y)^h} x^{-3/2-it} dx \right|^2 dt \\ &= O(1) + \int_{T^{1-\delta}}^T \left| \int_0^1 \sum_{[T] \leq n \leq [2Y]} \Delta(v+n) e^{-((v+n)/Y)^h} (v+n)^{-3/2-it} dv \right|^2 dt. \end{aligned}$$

By using the Cauchy-Schwarz inequality for integrals and inverting the order of integration it is seen that the last integral does not exceed

$$\begin{aligned} & \int_0^1 \int_{T^{1-\delta}}^T \left| \sum_{[T] \leq n \leq [2Y]} \Delta(v+n) e^{-((v+n)/Y)^h} (v+n)^{-3/2-it} \right|^2 dt dv \\ & \ll \int_0^1 \sum_{[T] \leq n \leq [2Y]} \Delta^2(v+n) e^{-2((v+n)/Y)^h} (v+n)^{-3} (n+T) dv \\ & \ll \int_{[T]}^{[2Y]+1} \Delta^2(x) x^{-2} dx, \end{aligned}$$

where we used again the mean value theorem for Dirichlet polynomials. If (3.6) holds, then obviously also

$$\int_M^{2M} \Delta^2(x) dx = o(M^2 \log^4 M) \quad (M \rightarrow \infty),$$

consequently we finally obtain from (3.5) ($M = [T]2^{j-1}$, $Y = T^B$)

$$\begin{aligned} \log^5 T & \ll \int_{T^{1-\delta}}^T |H(\tfrac{1}{2} + it)|^2 t^{-2} dt \ll 1 + \int_{[T]}^{[2Y]+1} \Delta^2(x) x^{-2} dx \\ & \ll 1 + \sum_{j=1}^{O(\log T)} \int_{[T]2^{j-1}}^{[T]2^j} \Delta^2(x) x^{-2} dx \ll 1 + \sum_{j=1, M=[T]2^{j-1}}^{O(\log T)} M^{-2} \int_M^{2M} \Delta^2(x) dx \\ & \ll 1 + \sum_{j=1}^{O(\log T)} o(\log^4 T) = o(\log^5 T), \end{aligned}$$

which is the contradiction that proves Theorem 2.

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Aleksandar IVIĆ
Katedra Matematike RGF-A
Univerziteta u Beogradu
Džušina 7, 11000 Beograd
SERBIA (YUGOSLAVIA)
e-mail : aleks@ivic.matf.bg.ac.yu